



Docket No.: CIMA 3.0-030 CONT CONT
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Pather et al.

Application No.: 09/661,693

Group Art Unit: 1617

Filed: September 14, 2000

Examiner: U. Ramachandran

For: SUBLINGUAL BUCCAL EFFERVESCENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

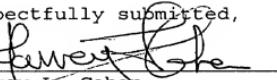
It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference which is not a U.S. patent or patent application is enclosed. Also enclosed for consideration by the Examiner is a summary of all commonly owned/assigned pending applications and granted patents in the United States and countries foreign to the United States and corresponding to the subject application (09/661,693), including United States divisional and continuing applications and further including a summary of all pending U.S. applications and granted patents based on applications directed to related technology.

Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: September 12, 2007

Respectfully submitted,

By 
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Applicant No.: 09/661,693

Docket No.: CIMA 3.0-030 CONT CONT

**SUBMISSION ACCOMPANYING AND PART OF
INFORMATION DISCLOSURE STATEMENT FOR U.S. SERIAL NO. 09/661,693**

Summary of all commonly owned/assigned pending patent applications and granted patents in the United States and countries foreign to the United States and corresponding to the subject application (09/661,693). Office actions and responses thereto by Applicant can be obtained from the relevant patent office or will be provided by Applicant upon request. Such documents are available on-line on the websites of several patent offices including, for example, the European Patent Office, which maintains a prosecution file history database (<http://www.epoline.org/portal/public/registerplus>) analogous to that of the United States Patent and Trademark Office "PAIR" database. A patent has been granted based on the corresponding European application, EP 1082106 and having claims substantially similar to or broader* than the claims in the present application that were submitted prior to those being offered in a new amendment accompanying a Request for Continued Examination.

- * Claim 1 of granted EP 1082106 reads as follows:

"A tablet for direct oral administration of a systemically distributable pharmaceutical medicament across the oral mucosa comprising: (a) fentanyl; (b) at least pH adjusting substance in an amount to permit a therapeutically sufficient concentration of the fentanyl to be present in the unionized form; and (c) an effervescent couple."

Table
Commonly Owned/Assigned Pending Applications and Granted Patents
Directed to Technology Related to the Subject Application (USSN 09/661,693)

(AS OF AUGUST 30, 2007)

List of subject application, divisional and continuing applications and granted patents.

Title: SUBLINGUAL BUCCAL EFFERVESCENT

Docket No.	CC	Serial No./ Patent No./ Pub. No.	Filing/Grant Date	Status
CIMA 3.0-030 CONT CONT	US	09/661,693	14SE2000	Filed Pending
CIMA 3.0-030 CONTCONTCONT	US	10/080,016 6,974,590	20FE2002 13DE2005	Filed GRANTED
CIMA 3.0-030 CONT	US	09/327,814 6,200,604	08JE1999 13MR2001	Filed GRANTED
CIMA 3.0-030 CONCONDIVCONCON	US	11/511,098 2006-029219	28AU2006	Filed Pending

List of all pending applications and granted patents in countries foreign to the United States and corresponding to the subject application (09/661,693).

Title: SUBLINGUAL BUCCAL EFFERVESCENT

<u>Docket No.</u>	<u>CC</u>	<u>Serial No./ Patent No.</u>	<u>Filing/Grant Date</u>	<u>Status</u>
CIMA 3.4-030 CON DIVDIV	AU	2007203233	11JL2007	Filed Pending
CIMA 3.4-030 CON DIV	AU	2004242477	22MR2000	Filed Pending
CIMA 3.4-030 CONT DIV	CA	2569674	19DE2006	Filed Pending
CIMA 3.4-030 CONT	CA	2333375 2333375	22MR2000 28MY2007	Filed GRANTED
CIMA 3.4-030 CONT	EP	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT EPDIVI	EP	03029911.9	22MR2000	Filed Pending
CIMA 3.4-030 CONT EPDIVII	EP	03029877.2	22MR2000	Filed Pending
CIMA 3.4-030 CONT	AT(EP)	00919523.1 E350017	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	BE(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	CH(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	CY(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	DE(EP)	00919523.1 60032691.8	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	DK(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	ES(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED

Title: SUBLINGUAL BUCCAL EFFERVESCENT

<u>Docket No.</u>	<u>CC</u>	<u>Serial No./ Patent No.</u>	<u>Filing/Grant Date</u>	<u>Status</u>
CIMA 3.4-030 CONT	FI(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	FR(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	GB(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	GR(EP)	00919523.1 3060798	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	IE(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	JP	607609/2000	22MR2000	Filed Pending
CIMA 3.4-030 CONT	LI(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	LU(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	MC(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	NL(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	PT(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED
CIMA 3.4-030 CONT	SE(EP)	00919523.1 EP1082106	22MR2000 03JA2007	Filed GRANTED

Application No.: 09/661,693

Docket No.: CIMA 3.0-030 CONT CONT

List of all pending applications and granted patents in the United States and directed to technology related to the subject application (09/661,693).

Title: EFFERVESCENT DRUG DELIVERY SYSTEM FOR ORAL ADMINISTRATION

Docket No.	CC	Serial No./ Patent No.	Filing/Grant Date	Status
CIMA 3.0-031	US	09/302,105 6,350,470	29AP1999 26FE2002	Filed GRANTED
CIMA 3.0-031 DIVCONT I	US	10/021,486 6,509,036	29OC2001 21JA2003	Filed GRANTED
CIMA 3.0-031 DIVCONT II	US	10/021,109 6,641,838	29OC2001 04NO2003	Filed GRANTED
CIMA 3.0-031 DIV	US	09/613,270 6,391,335	10JL2000 21MY2002	Filed GRANTED
CIMA 3.0-031 DIVCONTIICONT	US	10/346,829 6,764,696	18MR2003 20JL2004	Filed GRANTED

Title: SUBLINGUAL BUCCAL EFFERVESCENT

Docket No.	CC	Serial No./ Patent No./ Pub. No.	Filing/Grant Date	Status
CIMA 3.0-036 CONTICONT	US	10/936,185 2005-0031677	08SE2004	Filed Pending

Title: PHARMACEUTICAL COMPOSITIONS FOR RECTAL AND VAGINAL ADMINISTRATION

Docket No.	CC	Serial No./ Patent No./ Pub. No.	Filing/Grant Date	Status
CIMA 3.0-038	US	09/664,870 6,576,250	19SE2000 10JE2003	Filed GRANTED
CIMA 3.0-038 CONTICONT	US	10/946,556 2005-0037072	21SE2004	Filed Pending

Application No.: 09/661,693

Docket No.: CIMA 3.0-030 CONT CONT

Title: GENERALLY LINEAR EFFERVESCENT ORAL FENTANYL DOSAGE FORM AND METHODS OF ADMINISTERING

<u>Docket No.</u>	<u>CC</u>	<u>Serial No./ Patent No./ Pub. No.</u>	<u>Filing/Grant Date</u>	<u>Status</u>
CIMA 3.0-052 IIA	US	11/026,132 2005-0169989	30DE2004	Filed Pending

Title: GENERALLY LINEAR EFFERVESCENT ORAL FENTANYL DOSAGE FORM AND METHODS OF ADMINISTERING

<u>Docket No.</u>	<u>CC</u>	<u>Serial No./ Patent No./ Pub. No.</u>	<u>Filing/Grant Date</u>	<u>Status</u>
CIMA 3.0-052 IIB	US	11/026,327 2005-0142197	30DE2004	Filed Pending

Title: EFFERVESCENT ORAL FENTANYL DOSAGE FORM AND METHODS OF ADMINISTERING FENTANYL

<u>Docket No.</u>	<u>CC</u>	<u>Serial No./ Patent No./ Pub. No.</u>	<u>Filing/Grant Date</u>	<u>Status</u>
CIMA 3.0-052 III	US	11/027,353 2005-0142198	30DE2004	Filed Pending

Title: EFFERVESCENT ORAL OPIATE DOSAGE FORMS AND METHODS OF ADMINISTERING OPIATES

<u>Docket No.</u>	<u>CC</u>	<u>Serial No./ Patent No./ Pub. No.</u>	<u>Filing/Grant Date</u>	<u>Status</u>
CIMA 3.0-052 IV	US	11/026,759 2005-0163838	30DE2004	Filed Pending

Title: GENERALLY LINEAR EFFERVESCENT ORAL FENTANYL DOSAGE FORM AND METHODS OF ADMINISTERING

<u>Docket No.</u>	<u>CC</u>	<u>Serial No./ Patent No./ Pub. No.</u>	<u>Filing/Grant Date</u>	<u>Status</u>
CIMA 3.0-052 IIA CIP	US	11/521,796 2007-0036853	15SE2006	Filed Pending



Used in Lieu of PTO/SB/08A/B
(Based on PTO 04-07 version)

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete If Known	
Sheet	1	of	1	Application Number	09/661,693-Conf. #2096
				Filing Date	September 14, 2000
				First Named Inventor	S. Indiran Pather
				Art Unit	1617
				Examiner Name	U. Ramachandran
				Attorney Docket Number	CIMA 3.0-030 CONT CONT

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA*	US-5,314,904		05-24-1994	Egidio et al.	
AB*	US-4,443,428		04-17-1984	Oshlack et al.	
AC*	US-5,102,666		04-07-1992	Acharya	
AD*	US-5,501,861		03-26-1996	Makino et al.	
AA*	US-6,974,590-A1		12-13-2005	Pather et al.	
AB*	US-20060292219-A1		12-28-2006	Pather et al.	
AC*	US-6,641,838-A1		11-04-2003	Pather et al.	
AD*	US-6,764,696-A1		07-20-2004	Pather et al.	
AE*	US-20050037072-A1		02-17-2005	Pather et al.	
AF*	US-20050163838-A1		07-28-2005	Moe	
AG*	US-20050169989		08-04-2005	Moe et al.	
AH*	US-20050142198-A1		06-30-2005	Moe et al.	
AI*	US-20050142197-A1		06-30-2005	Moe et al.	
AJ*	US-20070036853-A1		02-15-2007	Agarwal et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number-Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
BA	TW-40484		12-01-1981		
BB	TW-36236		04-01-1981		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(ii)) because that application was filed after June 30, 2003 or is available in the IWF. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
							T ²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
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